



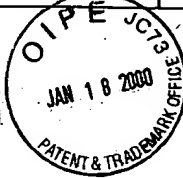
UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

Selfor #

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/434,559 11/12/99 SORENSEN



DF-7141

0242/1221  
MARSHALL O'TOOLE GERSTEIN MURRAY  
AND BORUN  
6300 SEARS TOWER  
233 SOUTH WACKER DRIVE  
CHICAGO IL 60606-6402

NOT ASSIGNED

2711

DATE MAILED:

12/21/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 130.00

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
- ☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

*Elisha Evans*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

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1/21/2000

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130.00

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# 3

DF- 7141

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Tom Lee Sorensen

Serial No.: 09/434,559

Filed: November 12, 1999

For: APPARATUS AND METHOD FOR PROVIDING,  
RETRIEVING, AND USING DATA GUIDE  
INFORMATION SUPPLIED IN A DIGITAL  
VESTIGIAL SIDEBAND SIGNAL

## CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE  
IS BEING DEPOSITED WITH THE UNITED STATES  
POSTAL SERVICE AS FIRST CLASS MAIL IN AN  
ENVELOPE ADDRESSED TO: COMMISSIONER OF  
PATENTS AND TRADEMARKS, WASHINGTON D.C.  
20231 ONJanuary 14, 2000  
Patricia A. WhedeeHonorable Commissioner of Patents  
and Trademarks

Washington, D.C. 20231

Attention: Box Missing Parts

January 14, 2000

RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF APPLICATION

Sir:

In response to the "Notice To File Missing Parts of Application" (Part 2 of which is enclosed herewith) dated December 21, 1999 issued by the Patent and Trademark Office in connection with this application, enclosed for filing in the application is a Declaration and Power of Attorney executed by the inventor.

Please charge the surcharge (\$130.00) necessary to complete the application to deposit account 26-0175 of Zenith Electronics

Respectfully submitted,

Jack Kail  
Registration No. 27,566JK:paw  
EnclosuresZENITH ELECTRONICS CORPORATION  
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Glenview, Illinois 60025  
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